

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL NO. EV351235215US

Applicant : Tetsuya Miyazaki
 Application No. : N/A
 Filed : December 2, 2003
 Title : OPTICAL RECEIVER AND METHOD FOR CONTROLLING
 DISPERSION COMPENSATION
 Grp./Div. : N/A
 Examiner : N/A
 Docket No. : 51214/DBP/T360

INFORMATION DISCLOSURE STATEMENT
 37 CFR § 1.97(b)

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

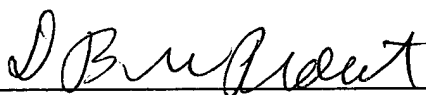
PostOffice Box 7068
 Pasadena, CA 91109-7068
 December 2, 2003

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed "Other Documents" are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,
 CHRISTIE, PARKER & HALE, LLP

By 
 D. Bruce Prout
 Reg. No. 20,958
 626/795-9900

FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	51214/DBP/T360
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Application Number	N/A
	Filing Date	December 2, 2003
	Applicant(s)	Tetsuya Miyazaki
	Group Art Unit	N/A
	Examiner Name	N/A

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	<u>DOCUMENT NUMBER</u> Number - Kind Code ² (If Known)	Publication Date MM-DD-YYYY	Name of Patentee
		5,999,289	12-07-1999	Ihara et al.
		2001/0009467 A1	07-26-2001	Fujita
		6,301,048 B1	10-09-2001	Cao
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		6,330,383 B1	12-11-2001	Cai et al.
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		6,430,347 B1	08-06-2002	Cain et al.
		6,445,864 B2	09-03-2002	Jiang et al.
		6,453,093 B2	09-17-2002	Xie et al.
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		6,496,615 B2	12-17-2002	Okuno

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No. ¹	<u>FOREIGN PATENT DOCUMENT</u> Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)

EXAMINER SIGNATURE	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³ Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached.	

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OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		H. OOI, ET AL., "40-Gbit/s WDM Automatic Dispersion Compensation with Virtually Imaged Phased Array (VIPA) Variable Dispersion Compensators" IEICE Trans. Commun., VOL E85-B, No. 2, 02/2002, pages 463-469
		T. MIYAZAKI, ET AL., "Synchronous Optical Demultiplexing and Sampling of 80-Gb/s OTDM Signals by Optically Recovered Clock Using Mode-Locked Laser Diode and Symmetric Mach-Zehnder Switch" IEEE Photonics Technology Letters, Vol. 14, No. 12, 12-2002, pages 1734-1736

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